## STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH AND ADDICTION SERVICES BUREAU OF HEALTH SYSTEM REGULATION DIVISION OF MEDICAL QUALITY ASSURANCE

In re: William E. Shevin, M.D.

Petition No. 921229 01-142

## CONSENT ORDER

William E. Shevin, M.D. of Woodstock, Connecticut (hereinafter "respondent") has been issued license number 021770 to practice medicine and surgery by the Department of Public Health and Addiction Services (hereinafter "the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended.

WHEREAS, the Department has concluded an investigation of respondent's ability to practice medicine and surgery with reasonable skill and safety by reason of negligence, incompetence and/or by reason of emotional disorder and,

WHEREAS, respondent admits the following:

- Respondent holds licensure as a physician and surgeon, as well as a homeopathic physician in the State of Connecticut;
- Respondent suffers from an emotional disorder which may prevent him from practicing medicine and surgery with reasonable skill and safety;
- Respondent has no formal training in the field of psychiatry or psychotherapy;
- Respondent treated patient J.B. from approximately 1986 through 1992;

- 5. Respondent treated patient M.J. from approximately January 1991 to August 1992;
- 6. During the course of such treatment with M.J. and J.B., respondent:
  - (a) inappropriately engaged in psychotherapy with said patients; and,
  - (b) violated said patients' confidentiality.
- 7. The conduct set for above constitutes violations of Connecticut General Statutes §20-13c(4).

WHEREAS, the Department also makes the following allegations against respondent which he does not admit:

- (a) Respondent discouraged said patients from seeking the services of other psychotherapists or medical professionals when needed;
- (b) Respondent inappropriately prescribed medications for said patients;
- (c) Respondent failed to diagnose said patients' illnesses and/or refer them to other treating physicians when needed; and,
- (d) Respondent violated boundaries with said patients.
- (e) The conditions and conduct set forth above constitute violations of Connecticut General Statutes \$20-13c(2), (4), and/or (5).

WHEREAS, the respondent denies said allegations as follows:

(a) He did make referrals of said patients to psychotherapists and/or medical professionals and encouraged their relationships with such

- professionals as is documented repeatedly in the medical records of said patients.
- (b) His prescribing for said patients was justified and appropriate given each individual's clinical picture as can be demonstrated by an evaluation of the patients' medical and prescription records.
- (c) As documented in the patients' records, he did properly diagnose, or refer to appropriate specialists for diagnosis, the medical conditions presented to him.
- (d) He recognized that he had, on occasion, given his lack of formal training in the fields of psychiatry or psychotherapy, exceeded boundaries with said patients, and took appropriate steps thereafter to obtain appropriate guidance for the setting of proper boundaries and to observe such boundaries.
- (e) He therefore denies violating Connecticut General Statues 20-13c(2), (4) and/or (5).

WHEREAS, respondent desires to settle this matter without the need for a formal evidentiary hearing before the Connecticut Medical Examining Board (hereinafter "the Board").

WHEREAS, respondent's license to practice medicine and surgery was summarily suspended by the Board on or about May 18, 1993.

NOW THEREFORE, pursuant to \$19a-17, and \$20-13c of the General Statutes of Connecticut, as amended, William E. Shevin, M.D., hereby stipulates and agrees to the following:

- Respondent waives his right to a hearing on the merits of this matter before the Board.
- any of the allegations of the Department as set forth above at a hearing before the Board. Respondent admits no guilt or wrongdoing, however, he agrees that this CONSENT ORDER shall have the same effect as if ordered after a full hearing held pursuant to \$19a-9, \$19a-10 and \$20-13c of the Connecticut General Statutes. Respondent further agrees that all of the allegations shall be deemed true for the purposes of any future proceedings in which (1) his compliance with the terms of this CONSENT ORDER and/or (2) his compliance with Connecticut General Statutes \$20-13c, is at issue. He further agrees that this CONSENT ORDER shall constitute proof of such allegations in any such future proceedings.
- 3. Respondent's license number 021770 to practice medicine and surgery in

  Connecticut is hereby reinstated by the Board and placed on probation

  for a period of five (5) years under the following terms and conditions:
  - A. He shall continue to engage in psychotherapy at his own expense
    with a licensed psychiatrist or psychologist (hereinafter
    "therapist") approved by the Department. Said therapist shall be
    Joseph Cambray, Ph.D., 94 Waterman Street, Providence, Rhode Island.
  - B. He shall provide a copy of this CONSENT ORDER to his therapist.
  - C. He shall attend a minimum of one (1) treatment session per week with his therapist.
  - D. If respondent's therapist determines that treatment is no longer therapeutically necessary, that the frequency of sessions can be reduced, or that respondent should be transferred to another therapist for treatment, he or she shall advise the Department in

writing, and the Department shall pre-approve any termination of therapy, reduction in frequency of sessions, or transfer of respondent to another therapist.

- E. His therapist shall immediately notify the Department in writing of respondent's discontinuation of treatment, transfer and/or termination of his or her services. Respondent's therapist shall also immediately report to the Department any conduct or condition on respondent's part which does or may violate any federal or state statute or regulation applicable to his profession.
- F. He shall be responsible for the provision of monthly written reports from his therapist directly to the Department. Such reports shall include but not be limited to:
  - (a) documentation of dates of treatment sessions;
  - (b) documentation of any and all medications prescribed for respondent by a licensed health care professional authorized to prescribe medications;
  - (c) an evaluation of his progress in treatment; and,
  - (d) an evaluation of his ability to safely and competently practice medicine and surgery;
- G. Respondent shall successfully complete one continuing education course in medical ethics and one continuing education course in the prescription of controlled substances during each year of the probationary period. Said courses shall be pre-approved by the Department.
- 4. Respondent shall not provide therapeutic or counselling services to anyone during the period of this CONSENT ORDER. Any patient requiring psychiatric, psychological and/or counselling services shall be immediately referred to an appropriate mental health professional.

- 5. Respondent shall not engage in the solo practice of medicine and any employment and/or change in employment shall be pre-approved by the Department.
- 6. Respondent shall have a female staff person present at all times during the physical examination of all female patients. Said person shall document in each patient's medical record that she was present during said examination. He shall forward to the Department the name and address of said person along with this executed CONSENT ORDER.
- 7. Respondent shall provide a copy of this CONSENT ORDER to his employer, partners, Chief of Service and/or supervisor at all hospitals, clinics, or other institutions where he practices, is affiliated or has privileges.
- 8. Respondent's practice shall be supervised at all times by a licensed physician pre-approved by the Department. He shall forward to the Department the name and address of said physician along with this executed CONSENT ORDER.
- 9. Respondent's supervisor shall meet with respondent not less than once every two weeks to review a minimum of 15 or 30% of respondent's patient records, whichever is greater, including but not limited to patient referrals to other treating professionals and all of respondent's orders and prescriptions for patient medications. If respondent has fewer than 15 patients, his supervisor shall review all of respondent's patient records.
- The supervisor shall have the right to monitor respondent's practice by any other reasonable means which he or she deems appropriate.
  Respondent shall fully cooperate with the supervisor in providing such monitoring.

- 11. Respondent's supervisor shall immediately advise the Department in writing if he or she is unable for any reason to continue providing personal supervision of respondent's practice.
- 12. Respondent's supervisor shall provide monthly written reports to the

  Department during the probationary period which shall include, but not

  be limited to the following:
  - (a) documentation of dates and durations of meetings with respondent;
  - (b) number and a general description of patient records reviewed;
  - (c) patient medication orders and prescriptions reviewed;
  - (d) additional monitoring techniques utilized;
  - (e) whether a female staff person was present during the physical examination of female patients; and,
  - (f) an assessment of respondent's ability to safely and competently practice medicine.
- 13. Respondent shall advise the Department in writing of any change of address or employment within fifteen (15) days of the same.
- 14. All correspondence and reports are to be addressed to:

Lynn Hurley, Investigator
Public Health Hearing Office
Department of Public Health and Addiction Services
150 Washington Street
Hartford, CT 06106

- 15. All reports required by the terms of this CONSENT ORDER shall be due on the tenth business day of each month beginning with the month immediately following the effective date of this CONSENT ORDER.
- 16. Any alleged violations of this CONSENT ORDER may result in the summary suspension of respondent's license as described in paragraph 17 below, or in the following procedures:

- (a) The Department shall notify respondent in writing by first-class mail that the term(s) of this CONSENT ORDER have been violated, provided that no prior consent for deviation from said term(s) had been granted.
- (b) Said notification shall include the acts or omission(s) which violate the term(s) of this CONSENT ORDER.
- (c) Respondent will be allowed fifteen (15) days from the date of the mailing of notification required in 16(a) above to demonstrate to the satisfaction of the Department that he has complied with the terms of this CONSENT ORDER, as applicable, or in the alternative, that he has cured the violation in question.
- (d) If respondent does not demonstrate compliance or cure the violation by the limited fifteen (15) day date certain contained in the notification of violation to the satisfaction of the Department, he shall be entitled to a hearing before the Board which shall make a final determination of the disciplinary action to be taken.
- (e) Evidence presented to the Board by either the Department or respondent in any such hearing shall be limited to the alleged violation(s) of the term(s) of this CONSENT ORDER.
- 17. In the event respondent violates this CONSENT ORDER, respondent agrees immediately to refrain from practice upon request by the Department for a period not to exceed 45 days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with

the Department in its investigation during said 45 day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that his failure to cooperate with the Department's investigation shall, as a matter of law, constitute a clear and immediate danger as required pursuant to Connecticut General Statutes, §4-182(c) and §19a-17(c).

- 18. In the event respondent violates a term of this CONSENT ORDER, said violation may also constitute grounds for the Department to seek a summary suspension of his license before the Board.
- 19. Any extension of time or grace period for reporting granted by the

  Department shall not be a waiver or preclude the Department from taking
  action at a later time. The Department shall not be required to grant
  future extensions of time or grace periods.
- 20. Legal notice of any action shall be deemed sufficient if sent to respondent's last known address of record supplied to the Licensure and Renewal Section of the Division of Medical Quality Assurance of the Department.
- 21. This CONSENT ORDER is effective when and contingent upon the Connecticut

  Homeopathic Medical Examining Board executing the CONSENT ORDER attached

  herewith as Exhibit A.
- 22. This CONSENT ORDER is a matter of public record.
- 23. This CONSENT ORDER and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Further, this CONSENT ORDER is not subject to appeal or review under the provisions of Chapters 54 and 368a of the General Statutes of Connecticut, provided that this CONSENT ORDER shall not

deprive respondent of any other rights he may have under the laws of the State of Connecticut or of the United States.

24. Respondent has consulted with an attorney before signing this CONSENT ORDER.

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I, William E. Shevin, M.D. have read the above CONSENT ORDER, and I agree to the terms set forth herein. I further declare the execution of this CONSENT ORDER to be my free act and deed.

William E. Shevin, M.D.

Subscribed and sworn to before me this

day of Soplember

1994

by law to administer an oath or affirmation

NOTARY PUBLIC

MY COMMISSION EXPIRES MAY 31, 1937

the above CONSENT ORDER having been presented to the duly appointed agent of the Commissioner of Public Health and Addiction Services on the day of 1994 it is hereby ordered and accepted.

Stanley K. \Peck, Director

Division of Medical Quality Assurance

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The above CONSENT ORDER having been presented to the Connecticut Medical Examining Board on the Doll day of Systember 1994, it is hereby ordered and accepted.

Connecticut Medical Examining Board

ADH:dm 9842Q/17-27 7/94 STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH BUREAU OF REGULATORY SERVICES

In Re: William E. Shevin, M.D.

Petition No. 921229-01-142

FIRST AMENDED CONSENT ORDER

WHEREAS, William Shevin, M.D. of Woodstock, Connecticut (hereinafter "respondent") entered into a

Consent Order on September 20, 1994, which became effective on October 12, 1994, with the

Department of Public Health (hereinafter "the Department") (a true and complete copy of which is

attached hereto marked as Attachment "A"); and,

WHEREAS, respondent wishes to modify the aforementioned Consent Order;

NOW THEREFORE, the first sentence of paragraph 9 of the Consent Order is deleted and a new

sentence is inserted into the Consent Order as follows:

Respondent's supervisor shall meet with respondent not less than once every month to review a

minimum of fifteen or twenty percent (20%) of respondent's patient records, whichever is

greater, including but not limited to patient referrals to other treating professionals and all of

respondent's orders and prescriptions for patient medications.

All other remaining terms and conditions of the Consent Order remain in effect. This First Amended

Consent Order is effective upon the order and acceptance of the Connecticut Medical Examining Board.

I, William Shevin, M.D., have read the above F	irst Amended Consent Order and I agree to the terms and
conditions therein. I further declare the execution	on of this document to be my free act and deed.
	William Shevin, M.D. William Shevin, M.D.
Subscribed and sworn to before me this <u>21</u> day	of January 1997.
AMY L. NOLET  NOTARY PUBLIC  MY COMMISSION EXPIRES AUG. 31, 1999	Notary Public or person authorized by law to administer an oath or affirmation
The above First Amended Consent Order having Commissioner of the Department of Public Heal	th on the 4th day of february 1997, it is
hereby accepted.	
	Stanley K. Peck, Director Legal Office
The above First Amended Consent Order having Connecticut Medical Examining Board on the Saccepted.	been presented to the duly appointed agent of the day of Abmany 1997, it is hereby ordered and
	111 Actor 110

Richard Ratzan, M.D., Chairman
Connecticut Medical Examining Board

## STATE OF CONNECTICUT



## DEPARTMENT OF PUBLIC HEALTH

October 25, 1999

William Shevin, MD, D.Ht 370 Riverside Drive North Grosvenordale, CT 06255

Re:

**Consent Orders** 

Petition No. 921229-09-001\* Petition No. 921229-01-142

License Nos. OH1231 and 021770

D.O.B.

Dear Dr. Shevin:

Please accept this letter as notice that you have satisfied the terms of your license probations, effective 10/12/99.

Notice will be sent to the Department's Licensure and Registration section to remove all restrictions from your licenses related to the above-referenced Consent Orders.

Thank you for your cooperation during this process.

Very truly yours,

Bonnie Pinkerton, RNC

Division of Health Systems Regulation

cc: D. Tomassone



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